

Applicant:

Iwafuchi et al.

Appl. No.:

10/024,690

Conf. No.:

2929

Filed:

December 14, 2001

Title:

A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING

A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING

SUBSTRATE

Art Unit:

2825

Examiner:

C. Everhart

Docket No.:

112857-306

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

Document No.	<u>Date</u>	<u>Inventor</u>
5,206,749	04-27-93	Zavracky et al.
5,258,320	11-02-93	Zavracky et al.
5,438,241	08-01-95	Zavracky et al.
5,739,800	04-14-98	Lebby et al.
6,204,079	03-2001	Aspar et al.
6,277,711	08-2001	Wu, Jiahn-Chang
6,283,693	09-2001	Acello et al.
2001/0018229	08-2001	Kato et al.

18/86/2883 MROCHA1 88888841 18824698

01 FC:1806

188.68 OP

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Document No.	<u>Date</u>	Country
0 905 673 A1	03-31-99	Europe
04-010671	01-14-92	Japan
04-247486	09-03-92	Japan
05-315643	11-26-93	Japan
05-290669	11-05-93	Japan
06-504139	05-12-94	Japan
07-110660	04-25-95	Japan
07-263754	10-13-95	Japan
08-107293	04-26-96	Japan
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09-293904	11-11-97	Japan
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10-163536	06-19-98	Japan
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11-8338	01-12-99	Japan
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56-17385	02-19-81	Japan
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2000-89693	03-31-00	Japan
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OTHER DOCUMENTS

Applied Physics Letters, Vol. 76, Number 22, 29 May 2000, "Selective growth of InGaN quantum dot structures and their microphotoluminescence at room temperature, Tachibani et al., pages 3212-3214.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

RY

Christopher S. Hermanson

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Chicago, Illinois 60690-1135

Phone: (312) 807-4225

Date: October 1, 2003

INFORMATION DISCLOSURE CITATION IN AN APPLICATION se several sheets if necessary)

PTO Form 1449

Atty Docket No.	Application No.	
112857-306	10/024,690	
Applicant		
Iwafuchi	et al.	
Filing Date	Group	
Dec. 14, 2001	2825	

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ĺ	<u> </u>			U.S. PA	TENT DOCUMENTS			
	Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
			5,206,749	04-27-93	Zavracky et al.			
			5,258,320	11-02-93	Zavracky et al.		-	7
			5,438,241	08-01-95	Zavracky et al.			007-
Ī			5,739,800	04-14-98	Lebby et al.			N 0
			6,204,079	03-2001	Aspar et al.			-8 2003
ſ			6,277,711	08-2001	Wu, Jiahn-Chang			n3 ROCM
			6,283,693	09-2001	Acello et al.			3
			2001/0018229	08-2001	Kato et al.			
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Document	Publication				Trans	lation
Number	Date	Country	Class	Subclass	Yes	No
0 905 673 A1	03-31-99	Europe				
04-010671	01-14-92	Japan				
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05-290669	11-05-93	Japan				
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07-263754	10-13-95	Japan				
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08-264841	10-11-96	Japan				
09-293904	11-11-97	Japan			<u> </u>	
	Number 0 905 673 A1 04-010671 04-247486 05-315643 05-290669 06-504139 07-110660 07-263754 08-107293	Document Number Publication Date 0 905 673 A1 03-31-99 04-010671 01-14-92 04-247486 09-03-92 05-315643 11-26-93 05-290669 11-05-93 06-504139 05-12-94 07-110660 04-25-95 07-263754 10-13-95 08-107293 04-26-96 08-264841 10-11-96	Document Number Publication Date Country 0 905 673 A1 03-31-99 Europe 04-010671 01-14-92 Japan 04-247486 09-03-92 Japan 05-315643 11-26-93 Japan 05-290669 11-05-93 Japan 06-504139 05-12-94 Japan 07-110660 04-25-95 Japan 07-263754 10-13-95 Japan 08-107293 04-26-96 Japan 08-264841 10-11-96 Japan	Number Date Country Class 0 905 673 A1 03-31-99 Europe 04-010671 01-14-92 Japan 04-247486 09-03-92 Japan 05-315643 11-26-93 Japan 05-290669 11-05-93 Japan 06-504139 05-12-94 Japan 07-110660 04-25-95 Japan 07-263754 10-13-95 Japan 08-107293 04-26-96 Japan 08-264841 10-11-96 Japan	Document Number Publication Date Country Class Subclass 0 905 673 A1 03-31-99 Europe 04-010671 01-14-92 Japan 04-247486 09-03-92 Japan 05-315643 11-26-93 Japan 05-290669 11-05-93 Japan 06-504139 05-12-94 Japan 07-110660 04-25-95 Japan 07-263754 10-13-95 Japan 08-107293 04-26-96 Japan 08-264841 10-11-96 Japan	Document Number Publication Date Country Class Subclass Trans Yes 0 905 673 A1 03-31-99 Europe 104-010671 01-14-92 Japan 104-247486 09-03-92 Japan 105-315643 11-26-93 Japan 105-290669 11-05-93 Japan 106-504139 05-12-94 Japan 107-110660 04-25-95 Japan 107-263754 10-13-95 Japan 108-107293 04-26-96 Japan 108-264841 10-11-96 Japan 108-264841 108-26

Examiner:	Date Considered:
*T I I I I I I I I I I I I I I I I I I I	4 - 14-41 = - 1- 1 C

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)

Atty Docket No.	Application No.
112857-306	10/024,690
Applicant	
Iwafuc	hi et al.
Filing Date	Group
Dec 14 2001	2025

		FOREIGN	PATENT DOCUME	ENTS	-		
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
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	10-163536	06-19-98	Japan				
	10-173305	06-26-98	Japan				
	10-256694	09-25-98	Japan			٠, د	
7	10-305620	11-17-98	Japan			2	2 (
	11-8338	01-12-99	Japan			00	1
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	11-219146	08-10-99	Japan			9	2 14
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	2000-89693	03-31-00	Japan				
	WO 95/05623	02-23-95	PCT				

Examiner's	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Initials	
	Applied Physics Letters, Vol. 76, Number 22, 29 May 2000, "Selective growth of InGaN quantum dot structures and their microphotoluminescence at room temperature, Tachibani et al., pages 3212-3214.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 112857-306								
In Re Application Of: I	In Re Application Of: Iwafuchi et al.							
Serial No. 10/024,690	Filing Date December 14, 2001	Examiner & TRADE	Group Art Unit 2825					
Title: A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE Address to: Commissioner for Patents P.O. Box 1450								
	Commiss P.C Alexandri	ddress to: sioner for Patents D. Box 1450 a, VA 22313-1450 CFR 1.97(b)	NED 8 2003 MAIL ROOM					
of a national ap three months of application; befo	1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.							
	37 C	FR 1.97(c)						
CFR 1.97(b), pro Final Action und	ovided that the Information Disc	I herewith is being filed after the closure Statement is filed before f Allowance under 37 CFR 1.3 and is accompanied by one of:	the mailing date of a					
☐ the statem	nent specified in 37 CFR 1.97(e);							
	OR	•						
🗵 the fee se	t forth in 37 CFR 1.17(p).							
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 112857-306								
In Re Application: Iwafuchi et al.								
Serial No.	Filing Date	Examiner Examiner	Group Art Unit					
10/024,690	December 14, 2001	C. Everhart	2825					
	A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE							
□ The Director is he as described belo □ Charge th ☑ Credit any ☑ Charge a Certificate of □ □ I certify that this docume account is being facsing.	The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited on Oct. 1, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
Typed or Printed N	lame of Person Signing Certificate	Renee Street Typed or Printed Name of Person	Mailing Certificate					
*This certificate may only be used if paying by deposit account. *Signature Christopher S. Hermanson (Reg. No. 48,244) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 (312) 807-4225 (312) 827-8185								

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 112857-306					
In Re Application Of: Iwafuchi et al.					
Serial No.	Filing Date December 14, 2001	Examiner C. Everhart	Group Art Unit		
Title: A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE					
SOBOTRATE, AND A DE			RECT OCT C 2800		
Address to: Commissioner for Patents					
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
	37 CFR 1.97(c)				
2. A The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
the statement specified in 37 CFR 1.97(e);					
OR					
☑ the fee set forth in 37 CFR 1.17(p).					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 112857-306					
In Re Application: Iwafuchi et al.					
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10/024,690	December 14, 2001	C. Everhart 2825			
A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING					
SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE					
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of \$180.00 is attached. The Director is hereby authorized to charge and credit Deposit Account No. 02-1818					
(Date)		28			
Signature		Signature of Person Mailing Correspondence Renee Street			
Typed or Printed N	Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate				
*This certificate may only be used if paying by deposit account. Dated: October 1, 2003 Signature Christopher S. Hermanson (Bog. No. 48 244)					
(Reg. No. 48,244) Bell, Boyd & Lloyd LLC					
P.O. B x 1135					
Chicago, Illinois 60690-1135 (312) 807-4225					
(312) 827-8185					
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